

FORM PTO-1390 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 10905.0003.PCUS00	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR Not Yet Assigned 09/868009	
INTERNATIONAL APPLICATION NO. PCT/AU99/01108		INTERNATIONAL FILING DATE 13 December 1999		PRIORITY DATE CLAIMED 11 December 1998	
TITLE OF INVENTION TREATMENT OF PAPILLOMAVIRUS INFECTION					
APPLICANT(S) FOR DO/EO/US Ian FRAZER and Jian ZHOU (deceased)					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 					
Items 13 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail 23. <input checked="" type="checkbox"/> Other items or information: 					
Eight sheets of informal drawings; return receipt postcard					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.492(a)(1) - (5)) 09/868009		INTERNATIONAL APPLICATION NO. PCT/AU00/01108		ATTORNEY'S DOCKET NUMBER 10905.0003.PCUS00	
---	--	--	--	--	--

24. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :				CALCULATIONS PTO USE ONLY	
<input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	\$1000.00				
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	\$860.00				
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$710.00				
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)	\$690.00				
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	\$100.00				
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$1,000.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =	0	x \$18.00	\$0.00	
Independent claims	- 3 =	0	x \$80.00	\$0.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,130.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				\$565.00	
SUBTOTAL =				\$565.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +				\$0.00	
TOTAL NATIONAL FEE =				\$565.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL FEES ENCLOSED =				\$565.00	
				Amount to be: refunded	\$
				charged	\$

a. ☐ A check in the amount of _____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. **08-3038** in the amount of **\$565.00** to cover the above fees. A duplicate copy of this sheet is enclosed.


c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **08-3038**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Albert P. Halluin
HOWREY SIMON ARNOLD & WHITE, LLP
 301 Ravenswood Avenue
 Box 34
 Menlo Park, CA 94025
 (650) 463-8109


 SIGNATURE

Albert P. Halluin, Viola T. Kung
 NAME

25,227, 41,131
 REGISTRATION NUMBER

 DATE

EXPRESS MAIL NO.: EL 615 209 349 US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ian FRAZER, *et al.*

Application Serial No. 09/868,009

Filed: June 11, 2001

For: **TREATMENT OF
PAPILLOMAVIRUS INFECTION**

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Attorney's Docket No:
10905.0003.PCUS00

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

AMENDMENT

In the Specification:

On page 1, after line 5, insert:

--CROSS-REFERENCE TO RELATED APPLICATIONS

The present application is a National Stage of International Application No. PCT/AU99/01108, filed December 13, 1999, which claims priority to Australian Patent Application No. PP 7653, filed December 11, 1998.--

In the Claims

There are two Claim 13's, please cancel the first Claim 13.

Please amend the following claims:

1. (Amended) A method of treatment of an existing [papillomavirus (] PV [)] infection [which includes the step of administration of PV VLPs selected from the group consisting of] comprising: administering a composition comprising (a) PV L1 VLPs [and] or (b) PV L1[/L2] VLPs and PV L2 VLPs to a patient suffering from the PV infection.
2. (Amended) [A] The method of treatment [as claimed in] according to Claim 1 ,

wherein the PV infection is characterised by the presence of epithelial lesions.

3. (Amended) [A] The method of treatment [as claimed in] according to Claim 2 , wherein the epithelial lesions are selected from the group consisting of palmar warts, planter warts, ano-genital warts, flat and planar warts of the skin and muscosal surfaces, CIN, equine sarcoid and replicating or vegetative PV infection.

4 (Amended) [A] The method of treatment [as claimed in] according to Claim 3 , wherein the [PV infection is] epithelial lesions are genital warts caused by HPV 6, 11, 34, 39, 41 [-44 and 51-] 42, 43, 44 , 51, 52, 53, 54, or 55.

5. (Amended) [A] The method of treatment [as claimed in] according to Claim 4 , wherein the genital warts are caused by HPV 6 [and] or HPV 11.

6. (Amended) A method of [treatment as claimed in any preceding claim wherein the VLPs are produced by] producing a PV VLP comprising: (a) cloning [the] one or more PV [L1 gene] VLP genes into a [suitable] vector and (b) expressing the [corresponding conformational coding sequence for L1] one or more PV VLP genes in an eukaryotic cell transduced by the vector.

7. (Amended) [A] The method [of treatment as claimed in] according to Claims 1-5 [wherein the VLPs are produced by] , further comprising: cloning the PV L1 [and] or PV L2 gene[s] into a [suitable] vector and expressing the [corresponding conformational coding sequence for L1 and L2] PV L1 or PV L2 gene in [an eukaryotic] a host cell [transduced by the vector] .

8. (Amended) [A] The method [as claimed in] according to Claim 6 [or 7] , wherein the one or more PV VLP genes comprise (i) a PV L1 VLP gene or [L1 and L2 genes are inserted into] (ii) a PV L1 VLP gene and a PV L2 VLP gene, wherein the vector is an expression vector [containing flanking sequences to form a gene construct and the resulting recombinant DNA is co-transfected with wild type baculovirus DNA into] , wherein the host cell is a cell from a permissive cell line.

9. (Amended) [A] The method [as claimed in] according to Claim 6 [or 7] _ wherein the permissive cell line is a Sf9 insect cell[s] line and the expression vector is a baculovirus expression vector.
10. (Amended) [A] The method [as claimed in] according to Claim 8 _ wherein the permissive cell line is a procaryotic cell line.
11. (Amended) [A] The method [as claimed in any preceding claim] according to Claim 1, wherein the concentration of PV L1 VLPs or PV L1 VLPs and PV L2 VLPs administered to the patient is 0.5-20 µg.
12. (Amended) [A] The method [as claimed in] according to Claim 11 _ wherein the concentration is 1-10 µg.
13. (Amended) [A] The method [of treatment as claimed in] according to Claim 11 or 12 _ wherein [dosages of PV VLPs are given] the composition is administered 3-6 times over a period of 8-16 weeks.
14. (Amended) [A] The method [of treatment as claimed in] according to Claim 11 _ wherein [dosages of PV VLPs are] the composition is administered 3-6 times over a period of 2-4 weeks.
15. (Amended) A method of immunization against HPV11 infection[s by administration of] comprising administering HPV6 VLPs to a patient.
16. (Amended) [A] The method [as claimed in] according to Claim 15 _ wherein the HPV6 VLPs are HPV6b VLPs [are administered to the patient] .
17. (Amended) [A] The method [as claimed in] according to Claim 15 or 16 _ wherein the concentration of the HPV6 VLPs are 0.5-20 µg.
18. (Amended) [A] The method [as claimed in] according to Claim 17 _ wherein the concentration of the HPV6 VLPs are 1-10 µg.

19. (Amended) [A] The method [as claimed in] according to Claim 17 [or 18] , wherein [dosages of] the HPV6 VLPs are [given] administered 3-6 times over a period of 8-16 weeks.
20. (Amended) [A] The method [as claimed in] according to Claim 17 [or 18] , wherein [dosages of] the HPV6 VLPs are [given] administered 3-6 times over a period of 24 weeks.
21. (Amended) A method of immunization against HPV6 infections [by administration of] comprising administering HPV11 VLPs to a patient.
22. (Amended) [A] The method [of immunization as claimed in] according to Claim 21 , wherein the concentration of the HPV11 VLPs is 0.5-20 µg.
23. (Amended) [A] The method [of immunization as claimed in] according to Claim 22 , wherein the concentration of the HPV11 VLPs is 1-10 µg.
24. (Amended) [A] The method [of immunization as claimed in] according to Claim 22 or 23 , wherein [dosages of] the HPV11 VLPs are [given] administered 3-6 times over a period of 8-16 weeks.
25. (Amended) [A] method [of immunization as claimed in] according to Claim 22 or 23 , wherein [dosages of] the HPV11 VLPs are [given] administered 3-6 times over a period of 2-4 weeks.
26. (Amended) A method of treatment of an existing [PV] papillomavirus infection [which includes the step of administration of PV] comprising administering papillomavirus VLPs without adjuvant to a patient suffering from the [PV infections] papillomavirus infection .
27. (Amended) [A] The method [of treatment as claimed in] according to Claim [27] 26, wherein the [PV] papillomavirus VLPs are chimeric.
28. (Amended) [A] The method [of treatment as claimed in] according to Claim 26 ,

wherein the [PV] papillomavirus VLPs comprise E protein.

29. (Amended) [A] The method [of treatment as claimed in] according to Claim 1 ,
wherein the [PV VLPs include administering] composition further comprises an adjuvant.

30. (Amended) [A] The method [of treatment as claimed in] according to Claim 29 ,
wherein the adjuvant is one that induces cellular responses.

31. (Amended) [A] The method [of treatment as claimed in] according to Claim 30 ,
wherein the adjuvant[s are] is selected from the group consisting of (1) lipid A and
derivatives, (2) Quillaia saponins and derivatives, (3) mycobacteria and components or
derivatives therefrom [and] , (4) IL 12, GMCSF, other Th1 inducing cytokines and (5)
oxidized mannan and analogues thereof.

Please add the following new claim:

--32. (New) The method according to Claim 1, wherein the composition lacks an adjuvant.-

REMARKS

The Amendments

There are two Claim 13's, the first Claim 13 is cancelled.

Claims 1-31 are amended to conform claim language to U.S. patent law. Support for
Claims 1-31 is found, for example, in Claims 1-31 as originally filed.

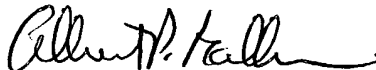
Support for new Claim 32 is found, for example, in first Claim 13 as originally filed.

Application Serial No. 09/868,009
Attorney Docket No. 10905.0003.PCUS00

No new matter is added in any of the above amendments and the Examiner is respectfully requested to enter the amendments.

Respectfully submitted,

Date: August 14, 2001



Albert P. Halluin (Reg. No. 25,227)
Robin C. Chiang (Reg. No. 46,619)

HOWREY SIMON ARNOLD & WHITE, LLP
Box 34
301 Ravenswoods Avenue
Menlo Park, CA 95025
(650) 463-8109

VERSION WITH MARKINGS TO SHOW CHANGES MADE**In the Specification:**

On page 1, after line 5, insert:

--CROSS-REFERENCE TO RELATED APPLICATIONS

The present application is a National Stage of International Application No. PCT/AU99/01108, filed December 13, 1999, which claims priority to Australian Patent Application No. PP 7653, filed December 11, 1998.--

In the Claims

There are two Claim 13's, please cancel the first Claim 13.

Please amend the following claims:

1. (Amended) A method of treatment of an existing [papillomavirus (] PV [)] infection [which includes the step of administration of PV VLPs selected from the group consisting of] comprising: administering a composition comprising (a) PV L1 VLPs [and] or (b) PV L1[/L2] VLPs and PV L2 VLPs to a patient suffering from the PV infection.
2. (Amended) [A] The method of treatment [as claimed in] according to Claim 1 , wherein the PV infection is characterised by the presence of epithelial lesions.
3. (Amended) [A] The method of treatment [as claimed in] according to Claim 2 , wherein the epithelial lesions are selected from the group consisting of palmar warts, planter warts, ano-genital warts, flat and planar warts of the skin and muscosal surfaces, CIN, equine sarcoid and replicating or vegetative PV infection.
4. (Amended) [A] The method of treatment [as claimed in] according to Claim 3 , wherein the [PV infection is] epithelial lesions are genital warts caused by HPV 6, 11, 34, 39, 41 [-44 and 51-] 42, 43, 44 , 51, 52, 53, 54, or 55.
5. (Amended) [A] The method of treatment [as claimed in] according to Claim 4 , wherein the genital warts are caused by HPV 6 [and] or HPV 11.

6. (Amended) A method of [treatment as claimed in any preceding claim wherein the VLPs are produced by] producing a PV VLP comprising: (a) cloning [the] one or more PV [L1 gene] VLP genes into a [suitable] vector and (b) expressing the [corresponding conformational coding sequence for L1] one or more PV VLP genes in an eukaryotic cell transduced by the vector.
7. (Amended) [A] The method [of treatment as claimed in] according to Claims 1-5 [wherein the VLPs are produced by] , further comprising: cloning the PV L1 [and] or PV L2 gene[s] into a [suitable] vector and expressing the [corresponding conformational coding sequence for L1 and L2] PV L1 or PV L2 gene in [an eukaryotic] a host cell [transduced by the vector] .
8. (Amended) [A] The method [as claimed in] according to Claim 6 [or 7] , wherein the one or more PV VLP genes comprise (i) a PV L1 VLP gene or [L1 and L2 genes are inserted into] (ii) a PV L1 VLP gene and a PV L2 VLP gene, wherein the vector is an expression vector [containing flanking sequences to form a gene construct and the resulting recombinant DNA is co-transfected with wild type baculovirus DNA into] , wherein the host cell is a cell from a permissive cell line.
9. (Amended) [A] The method [as claimed in] according to Claim 6 [or 7] , wherein the permissive cell line is a Sf9 insect cell[s] line and the expression vector is a baculovirus expression vector.
10. (Amended) [A] The method [as claimed in] according to Claim 8 , wherein the permissive cell line is a procaryotic cell line.
11. (Amended) [A] The method [as claimed in any preceding claim] according to Claim 1, wherein the concentration of PV L1 VLPs or PV L1 VLPs and PV L2 VLPs administered to the patient is 0.5-20 µg.
12. (Amended) [A] The method [as claimed in] according to Claim 11 , wherein the concentration is 1-10 µg.

13. (Amended) [A] The method [of treatment as claimed in] according to Claim 11 or 12 , wherein [dosages of PV VLPs are given] the composition is administered 3-6 times over a period of 8-16 weeks.

14. (Amended) [A] The method [of treatment as claimed in] according to Claim 11 , wherein [dosages of PV VLPs are] the composition is administered 3-6 times over a period of 2-4 weeks.

15. (Amended) A method of immunization against HPV11 infection[s by administration of] comprising administering HPV6 VLPs to a patient.

16. (Amended) [A] The method [as claimed in] according to Claim 15 , wherein the HPV6 VLPs are HPV6b VLPs [are administered to the patient] .

17. (Amended) [A] The method [as claimed in] according to Claim 15 or 16 , wherein the concentration of the HPV6 VLPs are 0.5-20 µg.

18. (Amended) [A] The method [as claimed in] according to Claim 17 , wherein the concentration of the HPV6 VLPs are 1-10 µg.

19. (Amended) [A] The method [as claimed in] according to Claim 17 [or 18] , wherein [dosages of] the HPV6 VLPs are [given] administered 3-6 times over a period of 8-16 weeks.

20. (Amended) [A] The method [as claimed in] according to Claim 17 [or 18] , wherein [dosages of] the HPV6 VLPs are [given] administered 3-6 times over a period of 24 weeks.

21. (Amended) A method of immunization against HPV6 infections [by administration of] comprising administering HPV11 VLPs to a patient.

22. (Amended) [A] The method [of immunization as claimed in] according to Claim 21 , wherein the concentration of the HPV11 VLPs is 0.5-20 µg.

23. (Amended) [A] The method [of immunization as claimed in] according to Claim 22 ,

wherein the concentration of the HPV11 VLPs is 1-10 µg.

24. (Amended) [A] The method [of immunization as claimed in] according to Claim 22 or 23 , wherein [dosages of] the HPV11 VLPs are [given] administered 3-6 times over a period of 8-16 weeks.

25. (Amended) [A] method [of immunization as claimed in] according to Claim 22 or 23 , wherein [dosages of] the HPV11 VLPs are [given] administered 3-6 times over a period of 2-4 weeks.

26. (Amended) A method of treatment of an existing [PV] papillomavirus infection [which includes the step of administration of PV] comprising administering papillomavirus VLPs without adjuvant to a patient suffering from the [PV infections] papillomavirus infection .

27. (Amended) [A] The method [of treatment as claimed in] according to Claim [27] 26, wherein the [PV] papillomavirus VLPs are chimeric.

28. (Amended) [A] The method [of treatment as claimed in] according to Claim 26 , wherein the [PV] papillomavirus VLPs comprise E protein.

29. (Amended) [A] The method [of treatment as claimed in] according to Claim 1 , wherein the [PV VLPs include administering] composition further comprises an adjuvant.

30. (Amended) [A] The method [of treatment as claimed in] according to Claim 29 , wherein the adjuvant is one that induces cellular responses.

31. (Amended) [A] The method [of treatment as claimed in] according to Claim 30 , wherein the adjuvant[s are] is selected from the group consisting of (1) lipid A and derivatives, (2) Quillaia saponins and derivatives, (3) mycobacteria and components or derivatives therefrom [and] , (4) IL 12, GMCSF, other Th1 inducing cytokines and (5) ozidized mannan and analogues thereof.

Application Serial No. 09/868,009
Attorney Docket No. 10905.0003.PCUS00

Please add the following new claim:

- 32. (New) The method according to Claim 1, wherein the composition lacks an adjuvant.-

-

CLEAN VERSION WITH CHANGES INCORPORATED**In the Specification:**

On page 1, the following new paragraph is inserted after line 5:

CROSS-REFERENCE TO RELATED APPLICATIONS

The present application is a National Stage of International Application No. PCT/AU99/01108, filed December 13, 1999, which claims priority to Australian Patent Application No. PP 7653, filed December 11, 1998.

In the Claims

1. A method of treatment of an existing PV infection comprising: administering a composition comprising (a) PV L1 VLPs or (b) PV L1 VLPs and PV L2 VLPs to a patient suffering from the PV infection.
2. The method of treatment according to Claim 1, wherein the PV infection is characterised by the presence of epithelial lesions.
3. The method of treatment according to Claim 2, wherein the epithelial lesions are selected from the group consisting of palmar warts, planter warts, ano-genital warts, flat and planar warts of the skin and mucosal surfaces, CIN, equine sarcoid and replicating or vegetative PV infection.
4. The method of treatment according to Claim 3, wherein the epithelial lesions are genital warts caused by HPV 6, 11, 34, 39, 41, 42, 43, 44, 51, 52, 53, 54, or 55.
5. The method of treatment according to Claim 4, wherein the genital warts are caused by HPV 6 or HPV 11.
6. A method of producing a PV VLP comprising: (a) cloning one or more PV VLP genes into a vector and (b) expressing the one or more PV VLP genes in an eukaryotic cell transduced by the vector.
7. The method according to Claims 1-5, further comprising: cloning the PV L1 or PV L2

gene into a vector and expressing the PV L1 or PV L2 gene in a host cell.

8. The method according to Claim 6, wherein the one or more PV VLP genes comprise (i) a PV L1 VLP gene or (ii) a PV L1 VLP gene and a PV L2 VLP gene, wherein the vector is an expression vector, wherein the host cell is a cell from a permissive cell line.

9. The method according to Claim 6, wherein the permissive cell line is a Sf9 insect cell line and the expression vector is a baculovirus expression vector.

10. The method according to Claim 8, wherein the permissive cell line is a procaryotic cell line.

11. The method according to Claim 1, wherein the concentration of PV L1 VLPs or PV L1 VLPs and PV L2 VLPs administered to the patient is 0.5-20 μ g.

12. The method according to Claim 11, wherein the concentration is 1-10 μ g.

13. The method according to Claim 11 or 12, wherein the composition is administered 3-6 times over a period of 8-16 weeks.

14. The method according to Claim 11, wherein the composition is administered 3-6 times over a period of 2-4 weeks.

15. A method of immunization against HPV11 infection comprising administering HPV6 VLPs to a patient.

16. The method according to Claim 15, wherein the HPV6 VLPs are HPV6b VLPs.

17. The method according to Claim 15 or 16, wherein the concentration of the HPV6 VLPs are 0.5-20 μ g.

18. The method according to Claim 17, wherein the concentration of the HPV6 VLPs are 1-10 μ g.

19. The method according to Claim 17, wherein the HPV6 VLPs are administered 3-6 times over a period of 8-16 weeks.
20. The method according to Claim 17, wherein the HPV6 VLPs are administered 3-6 times over a period of 24 weeks.
21. A method of immunization against HPV6 infections comprising administering HPV11 VLPs to a patient.
22. The method according to Claim 21, wherein the concentration of the HPV11 VLPs is 0.5-20 μg .
23. The method according to Claim 22, wherein the concentration of the HPV11 VLPs is 1-10 μg .
24. The method according to Claim 22 or 23, wherein the HPV11 VLPs are administered 3-6 times over a period of 8-16 weeks.
25. Method according to Claim 22 or 23, wherein the HPV11 VLPs are administered 3-6 times over a period of 2-4 weeks.
26. A method of treatment of an existing papillomavirus infection comprising administering papillomavirus VLPs without adjuvant to a patient suffering from the papillomavirus infection .
27. The method according to Claim 26, wherein the papillomavirus VLPs are chimeric.
28. The method according to Claim 26, wherein the papillomavirus VLPs comprise E protein.
29. The method according to Claim 1, wherein the composition further comprises an adjuvant.

30. The method according to Claim 29, wherein the adjuvant is one that induces cellular responses.
31. The method according to Claim 30, wherein the adjuvant is selected from the group consisting of (1) lipid A and derivatives, (2) Quillaia saponins and derivatives, (3) mycobacteria and components or derivatives therefrom, (4) IL 12, GMCSF, other Th1 inducing cytokines and (5) oxidized mannan and analogues thereof.
32. The method according to Claim 1, wherein the composition lacks an adjuvant.

TITLE

"TREATMENT OF PAPILLOMAVIRUS INFECTIONS"

FIELD OF THE INVENTION

5 THIS INVENTION relates to treatment of papillomavirus infections.

BACKGROUND OF THE INVENTION

Infection of the anogenital skin with human papillomavirus (HPV) results in exophytic or flat warts, and infection with some genotypes is also accepted as an antecedent cause of anogenital cancer.

10 Current treatment modalities for genital warts are generally destructive and include surgery, cautery, laser surgery, and caustic chemicals as described in, for example, Beutner *et al.*, 1997, *Am. J. Med.* **102** 28-37. There is a high treatment failure and disease relapse rate, varying from 30-70%, after destructive treatment of exophytic warts which is discussed

15 in Barrasso, R., 1998, *J. Obstet. Gynecol.* **18** S70-S71. Warts persist longer and return more frequently in immunosuppressed patients as referred to in Bouwes *et al.*, 1997, *Clin. Dermatol.* **15** 427-437, suggesting a role for the immune system in the resolution of the lesions. A role for local immunity is further supported by the partial therapeutic effectiveness

20 of interferons as referred to in Frazer, I.H. & McMillan, N.A. in *Clinical Applications of the Interferons* (eds. Stuart-Harris, R. & Penny, R.W.) 79-91 (Chapman and Hall Medical, London, 1997), and of topical application of the immune enhancer Imiquimod which is discussed in Beutner *et al.*, 1998, *Antimicrob. Agents Chemother.* **42** 789-794. Immunoprophylaxis

25 against HPV infection is proposed which is discussed in Hines *et al.*, 1998, *Curr. Opin. Infect. Dis.* **11** 57-61 and Hagensee, M.E., 1997, *Infect. Med.* **14** 555-556, particularly because of the association of some papillomavirus (PV) genotypes with cancer. Expression of the PV capsid protein L1 or the L1 and L2 proteins in eukaryotic expression systems

30 results in the assembly of this protein into papillomavirus virus-like particles (VLPs) described in Zhou *et al.*, 1991, *Virology* **185** 251-257;

Kirnbauer *et al.*, 1992, *Proc. Natl. Acad. Sci. USA* 89 12180-12184 and Rose *et al.*, 1993, *J. Virol.* 67 1936-1944, which morphologically and immunologically resemble the native virus. Immunization with recombinant VLPs of the relevant type results in effective prophylaxis against challenge with bovine, canine and cottontail rabbit papillomavirus *in vivo* as referred to in Breitburd *et al.*, 1995, *J. Virol.* 69 3959-3963, Kirnbauer *et al.*, 1996, *Virology* 219 37-44 and Suzich *et al.*, 1995, *Proc. Natl. Acad. Sci. USA* 92 11553-11557, and protection correlates with antibody titre and can be transferred with antibody (Breitburd *et al.*, 1995, *supra*).

Reference may also be made to U.S. Patent No. 5437951 which makes it clear that it is already known that the ability of PV VLPs to induce high titre neutralizing antiserum makes them suitable for prophylaxis against communicable papillomatosis. Examples of appropriate subjects provided in this reference are (i) bovine animals which are susceptible to papilloma warts, (ii) all humans for non-genital types of HPV infection and (iii) sexually active humans for genital types of HPV infection.

U.S. Patent No. 5437951 also makes it clear that prophylactic vaccination can be useful for productive PV lesions which usually express L1 and L2 capsid proteins. Such lesions may occur in benign infections such as warts of laryngeal papillomatosis. This reference also establishes that protective immunity against both benign and malignant PV disease can be induced by administration of an effective amount of recombinant L1 capsid protein to an individual at risk for PV infections. A vaccine comprising the capsid protein can be directly administered either parentally or locally according to conventional immunization protocols.

Thus, U.S. Patent No. 5437951 as well as the Kirnbauer *et al.*, 1996, *supra*, Breitburd *et al.*, 1995, *supra* and Suzich *et al.*, 1995, *supra* references are representative of a large number of references that

show that is well known that vaccines containing PV VLPs can be used for prophylaxis or prevention of infection of papilloma warts.

Reference may also be made to the Kirnbauer *et al.*, 1996, *supra* wherein it was ascertained that immunization with vaccines containing BPV L1-L2 VLPs in Incomplete Freund's adjuvant to calves with established papillomas was not as efficient as the use of these vaccines for prophylaxis.

It is also noted in Greenstone *et al.*, 1998, Proc. Natl. Acad. Sci. USA 95 1800-1805 that while PV VLPs are a promising prophylactic vaccine candidate to prevent HPV infections, they are unlikely to have therapeutic effects because the virion capsid proteins are not detected in the proliferating cells of infected epithelia or in cervical carcinomas. In this reference, it was also found that injection of chimeric HPV16L1/L2-HPV16 E7 VLPs into mice protected the mice from tumour challenge even in the absence of adjuvant. However, HPV16L1/L2 VLPs were not effective in this regard, a not unexpected result since the tumor was and E7 bearing tumor.

A similar result was found in Peng *et al.*, 1998, Virology 240 140-157 wherein hybrid or chimeric VLPs formed from HPV L1 which also incorporated a single HPV16 E7 cytotoxic T lymphocyte (CTL) epitope and a single HIV gp 160 CTL epitope induced a strong CTL response upon immunization.

Reference may also be made to WO98/28003 which reports studies on development of a therapeutic vaccine to treat cotton tail rabbit papillomavirus infection. Their data supports the premise that E proteins are an essential component of an effective therapeutic vaccine.

This belief in the requirement for various E proteins to formulate a therapeutic PV vaccine has led to a clinical trial for a HPV6 genital wart therapeutic based on L2E7 absorbed onto Alhydrogel (Thomson *et al.*, (1999) Phase 1 safety and antigenicity of TA-GW, a recombinant HPV5 L2E7 vaccine for the treatment of genital warts.

Vaccine 17 40-49)

Interestingly, even though most vaccine trials have incorporated various adjuvants as a formulation component, their importance has not been determined. On this subject reference may be made to Schirmbeck *et al.*, 1996, Intervirology 39 111-119 which also showed that injection of 100 ng to 1 µg of native hepatitis B virus surface antigen (HBsAg) VLPs without adjuvant efficiently primes MHC Class I restricted CTL responses and that this demonstrates that such VLPs may be immunogenic.

Unexpectedly, it has now been ascertained by the present inventors that treatment of existing PV infections, inclusive of genital warts, can be achieved by vaccines containing PV VLPs without any E proteins or adjuvant. This is doubly surprising especially in the light of the observations made in the Greenstone *et al.*, 1998, *supra* and Kimbauer *et al.*, 1996, *supra* references above. The Kimbauer *et al.*, 1996, *supra* and Peng *et al.*, 1998, *supra* also establish that while use of prophylactic PV vaccines without adjuvant may be effective, this conclusion may only apply to chimeric VLPs. While Schirmbeck *et al.*, 1998, *supra* establishes that HBsAg VLPs without adjuvant may be immunogenic, a similar conclusion could not be applied to PV VLPs having regard to the other references described above.

SUMMARY OF THE INVENTION

It therefore is an object of the invention to provide a method of treatment of existing PV infections which is effective in use.

The invention, therefore, provides a method of treating an existing PV infection which includes the step of administration of PV VLPs selected from the group consisting of PV L1 VLPs and PV L1/L2 VLPs to a patient suffering from the PV infection.

However, the method of the invention is especially applicable to existing genital warts which are caused by HPV types 6, 11, 34, 39, 41-44 and 51-55. The warts that may be especially relevant for

treatment are caused by HPV6 and HPV11.

Preferably the PV infections are epithelial lesions and more preferably such lesions are selected from the group consisting of palmar warts, planter warts, ano-genital warts, flat and planar warts of the skin and muscosal surfaces, CIN, equine sarcoid or replicating or vegetative PV infection.

Preferably in the course of treatment, an existing patient may be checked for the cause of his or her infection and, in this regard, a biopsy may be taken for PV typing. Suitably, the PV typing is effected by antibody-based or nucleic acid-based techniques which are well known to those skilled in the art. Preferably the PV-typing is effected by nucleic acid amplification techniques such as PCR.

The relevant VLPs may be produced by standard methods well known in the art which, for example, are reported in Qi *et al.*, 1996, *Virology* 216 35-45. Such standard methods are also described in International Publication No. WO93/02184, Australian Patent No. 683220, Yamada *et al.*, Dec. 1995, *J. Virol.* 7743-7753, U.S. Patent No. 5437951, U.S. Patent No. 5744142, Rose *et al.*, 1993, *supra*, Kimbauer *et al.*, 1993, *J. Virol.* 67(12) 6929-6936, Sasegawa *et al.*, 1995, *Virology* 206 126-135 and Schiller and Roden, 1995, *Papillomavirus Report* 6(5) 121-128.

The above disclosures are only referred to by way of example and make it clear that VLPs can be produced by a wide variety of methods which basically include cloning the L1 (or L1 and L2) gene into a suitable vector and expressing the corresponding conformational coding sequences for these proteins in a eukaryotic cell transformed by the vector. Subsequently all of the capsid protein coding sequence should be expressed and thus substantially all of the capsid coding sequence is cloned. Insect cells are preferred host cells although yeast cells may also be utilized if required.

Similarly, other eukaryotic and prokaryotic systems may be used to express L1 or L1 and L2 proteins provided the expressed proteins

self-assemble into VLPs.

Preferably a baculovirus expression system is used wherein the L1 or L1 and L2 genes are inserted into a baculovirus expression vector containing flanking baculovirus sequences to form a gene construct and the recombinant DNA is co-transfected with wild-type
5 baculovirus DNA into Sf-9 insect cells.

Reference is made to the Experimental Section hereinafter wherein human patients were treated with HPV6b VLPs without adjuvant. However, it is clear from International Publication No. WO93/02184 that
10 the L1 ORF is monotonously conserved in all known cases and because of this, the invention has broad application to all PV types. Support for this conclusion may be demonstrated in the following references, i.e.:-

- (a) Carl C. Baker Appendix "Sequence Analysis of
15 *Papillomavirus Genomes*" in "The Papovaviridae: Volume 2, the Papillomaviruses", editors N.P. Salzman and P.M. Howley, Plenum Press (1987);
- (b) Thomas R. Broker, 1987, "*Structure and Genetic Analysis of Expression of Papillomaviruses*", Obstetrics and Gynecology Clinics of North America
20 14(2) 329-348;
- (c) Isabelle Giri and Olivier Danos, 1986, "*Papillomavirus genomes: from sequence data to biological properties*", 2 Trends Genet 2 227-232; and
- (d) A review by Syrjanen K. et al. in "*Papillomaviruses and Human Disease*", Springer Verlag, 1987.
25

It will be appreciated that the PV VLPs may be dissolved in any suitable physiological vehicle inclusive of saline, water, PBS (phosphate buffered saline). Suitable concentrations of PV VLPs are 0.5-20 µg and more preferably 1-10 µg. Dosages may be 3-6 times over a
30 period of 8-16 weeks or more preferably 2-4 weeks.

In another aspect of the invention, and as demonstrated in

the Experimental Section hereinafter, it is also evident that immunization with HPV6 and more particularly HPV6b VLPs give immune responses cross reactive with HPV11 but not HPV16. Therefore, immunization with HPV6 VLPs may provide protection against HPV11 infections and vice versa, i.e. immunization with HPV11 VLPs may give protection against HPV6 infections. In immunization protocols, similar concentrations or dosages of VLPs as described above may be adopted and use of any physiological vehicle may be utilized.

Any convenient route of administration may be adopted but parenteral administration and, in particular, intramuscular administration, is preferred.

EXPERIMENTAL

MATERIALS AND METHODS

Patients

Consenting subjects (i.e. 36 in all) were recruited for the purpose of this clinical trial. Such subjects were healthy and had genital warts. They were also between the ages of 16 and 55 and with at least one visible external wart. The subjects had no treatment for their warts in the four weeks prior to immunization, and agreed to forego other treatments during the period of immunotherapy. Duration of genital wart disease, and prior treatment history, were recorded but were not used as a determinant of eligibility for participation, because of uncertainty amongst the patients as to how long the disease had been present and what the nature of prior topical treatments might have been. Patients were excluded if they had been treated for warts within the last four weeks, if they had a systematic illness undergoing medical management, if there were other active sexually transmitted infections in need of treatment, or if cervical dysplasia in need of treatment was detected on a PAP smear. Internal genital warts were not a contraindication to participation. A biopsy was taken of a representative wart at the time of recruitment, for HPV typing by PCR, and all warts were HPV6b/11

positive by PCR. HIV-1 testing was not routinely performed in this study, as only five cases of HIV infection had been detected in sentinel studies at the time of the study. Average time between initial presentation with warts and first administration of vaccine to eligible patients was one week. Blood for HPV VLP antibody studies was also obtained from relevant laboratory staff, age matched with the patients, and without a history of genital warts.

Production of vaccine material

VLPs were produced under good laboratory practice conditions using an HPV6bL1 recombinant baculovirus (L1rBV) previously described in Qi *et al.*, 1996, *Virology* 216 35-45. Cultures of SF9 cells in SF900-II medium (Sigma), were infected with L1rBV at an MOI of 10. After 48 hours, cultures were harvested, and the cell pellets pooled, assayed for L1 by immunoblot and for VLPs by electron microscopy, and frozen at -80° until further use. Thawed cell pellets were further purified by discontinuous sucrose gradient centrifugation, and by continuous Cesium Chloride gradient centrifugation, and assayed for VLP content, as previously described in Qi *et al.*, 1996, *supra* and Park *et al.*, 1993, *J. Virol. Methods* 45 303-318. Material of density 1.26-1.30 g/cm³, which was >80% HPV6bL1 protein by gel analysis, and contained substantially complete virus particles on EM (VLPs), was then subjected to exhaustive dialysis against phosphate buffered 0.9% NaCl with Calcium and Magnesium (PBS), and aliquoted at 50 µg protein/100 µl in glass vials. A random sample of 10% of these vials were subjected to testing for sterility, pyrogenicity, and abnormal toxicity in rabbits. Material was sterile and negative for pyrogens, and no toxicity was observed. At the end of the study, one year after the pool of cell lysate was prepared, a vial was checked for VLP content by immunoblot and electron microscopy to confirm product stability.

Immunization

Subjects were examined every two weeks, and warts were

inspected at each visit and generally photographed. Colposcopy with visualisation of the vagina and cervix was undertaken at each visit. At weeks 0, 4, and 8 patients were immunized with HPV VLPS, 1, 5 or 10 µg intramuscularly without adjuvant. Dose allocation was initially sequential, the first five patients receiving 1 µg, the next five 5 µg and the next five 10 µg. Thereafter, patients were allocated to receive 10, 5, or 1 µg alternately. If warts had not cleared by week 12, further VLP immunizations were offered at week 12, and if warts were not cleared, at week 16 and week 20. Four subjects (2 x 5 µg; 2 x 10 µg) received four immunizations, two subjects (1 x 5 µg; 1 x 10 µg) received five immunizations, and six patients (1 x 1 µg; 2 x 5 µg; 3 x 10 µg) received six immunizations. One patient who received 1 µg initially, and had failed to develop resolution or DTH to VLPs by week 10 with that dose, received three further vaccinations with 10 µg, and data for this patient were analysed with the 10 µg treatment group. Otherwise, supplementary immunizations where given were of the same dose of VLPs as initially given. Available subjects (n=34) were evaluated for outcome at week 20, and subjects were classified as evaluable if they received at least three immunizations and were seen at this time point.

Safety and Toxicity

A cohort of five patients receiving 10 µg VLPs were tested for routine haematology (FBE, Differential White Count), and biochemistry (AST, ALT, Bilirubin, Alkaline Phosphatase, Total Protein, Albumin, Globulins, Glucose, Urea, Creatinine, Uric Acid) tests prior to, and three days, 1, 2, 4, 8, and 12 weeks after the first immunization. Samples from subjects were tested by 12 channel automated chemistry analyzer (Beckmann CX4) and 6 channel Coulter haematology (Coulter T-540) analyzer.

All subjects were observed for adverse effects following each vaccination, and were asked at each visit about adverse events experienced between visits, including local discomfort at the site of the

vaccination or of the warts, and systemic symptoms including fevers, chills, myalgia, headaches, and skin disorders.

Antibody to VLPs

Serum was collected prior to entry and every two weeks throughout the study, for assay for VLP specific antibody by ELISA. ELISA plates (Flow Laboratories) were coated with HPV6b, HPV11 or HPV16 VLPs (10 µg/ml) in PBS buffer, held overnight, and blocked with defatted skim milk. Test and control sera were added at 1:100 dilution and binding detected by HRP-conjugated anti-human IgG (Sigma) or HRP-conjugated anti-human IgG, A, M (Silenius). Mean reactivity of each serum with defatted skim milk, which ranged from 0.001 to 0.113 (mean 0.032) was in each case subtracted. For comparisons, the complete set of sera was tested within a single assay and three independent assays of the serum set were carried out with highly correlated results ($r^2 > 0.95$).

DTH testing

VLP vaccine material was used as an antigen for DTH testing. DTH testing was carried out for 32 subjects after the primary three dose immunization and antibody testing protocol was complete at week 10-12. 20 µl (10 µg) of VLP suspension was delivered intradermally on the volar aspect of the forearm. Biopsies were scored visually at 48 hours as 0 (no induration), 1 (1-3 mm duration), 2 (4-10 mm induration) and 3 (>10 mm induration). For 28 subjects, DTH sites were biopsied using, a 3 mm punch biopsy under 1% Lignocaine local anaesthesia. Biopsies were fixed in neutral buffered formalin, and processed for routine H+E sections and for immunohistochemistry as previously described in Pettit *et al.*, 1997, J. Immunol. 159 3681-3691.

Immunohistochemistry

Sections of biopsies fixed in neutral buffered formalin and routinely processed and embedded in paraffin, were de-paraffinized and subjected to high-temperature antigen retrieval (121°C, 10 minutes) using 10 mM EDTA, pH 7.5 buffer. Double immunostaining with combinations of

CD1a/HLA-DR, CD1a/CD68, CD3/CD68, CD3/CD8, CD68/HLA-DR, CD3/HLA-DR and CD3/CD20 was carried out. Subsequent to blocking with 10% swine serum/10% FBS in TBS pH 7.6 for 1 hour, sections were incubated at room temperature in wet-chamber with primary antibodies; mouse anti-human CD1a (Immunotech-Coulter, clone BL-6 pre-diluted), rabbit anti-human CD3 (1:250) and mouse anti-human CD8 (clone C8/144B), CD68 (clone PG-M1), HLA-DR (clone TAL-1B5) and CD20 (clone L26) (DAKO, Denmark) all in 1:50 dilutions for 60 minutes. Sections were treated with biotinylated rabbit anti-mouse or swine anti-rabbit (1:200) secondary antibody and with streptavidin conjugated horseradish peroxidase (DAKO, Denmark) (1:300). For double immunostaining, the sections were further treated with second primary antibody followed by its corresponding biotinylated second secondary antibody. Streptavidin ABC/alkaline phosphatase conjugate (DAKO, Denmark) was used to tag the second antibody. The first and second antibodies were subsequently demonstrated by developing with substrate chromogen kits using DAB (brown) and Fast-red (red) (DAKO, Denmark). Sections were counterstained using Myer's haematoxylin.

Statistical analysis

Univariate and multivariate analysis was carried out using Statistica Version 5.0 (Statsoft, Ok., U.S.A.).

RESULTS

Vaccination and adverse reactions

As stated above, 36 subjects were recruited to the study, and 34 subjects were immunized with 1, 5 or 10 µg of HPV6bL1 VLPs on three or more occasions and also attended the week 20 evaluation (Table 1). The majority of subjects had had warts for two months or more (Table 2), and had at least one prior treatment with cautery for their warts. No local or lesional systemic adverse reactions were observed or reported by subjects (evaluative or otherwise) following immunization, beyond the immediate discomfort associated with injection. All of the biochemical and

haematological analyses were within the local reference ranges at each visit, and there was no trend for change with time. Amongst those patients with regressing warts, no particular local reaction in the regressing warts was observed or reported, and warts appeared to regress without local inflammation in immunized subjects, as has generally been described for spontaneous regression of genital warts. Thirty-three subjects who were immunized on three or more occasions and who attended the 20 week follow up visit were regarded as evaluable for immune response to the vaccine and for outcome.

DTH to HPV6bL1 VLPs

VLP specific DTH was measured using a single intradermal injection of VLPs at various times after the second or third immunization. DTH was not tested prior to initiating immunization, primarily to avoid a immunising effect of the DTH skin test. The majority of patients, regardless of dose of VLPs or time after immunization, had a 2+ to 3+ clinical DTH response, and all patients had some visible response. For 28 subjects, DTH reactions were biopsied using a 3 mm skin punch, and subjected to histologic analysis including, for five biopsies, detailed immunohistochemical assessment. Typical DTH reaction including lymphoid and monocytic infiltrate was observed round vessels and subcutaneously (FIG. 1). Infiltrates for the five biopsies so assessed included CD1a+ve Langerhans cells, CD4 and CD8+ve T cells, and DR+ve macrophages. A five point scale was used for assessing DTH reactions histologically, according to the extent of the inflammatory infiltrate round blood vessels and subcutaneously, the number of involved vessels, and the presence of non-lymphoid inflammatory cells including eosinophils. The majority of the biopsies scored highly, and the DTH score was independent of VLP dose or number of immunizations.

In particular, CD1a+ Langerhans cells were seen in the epidermis, and rarely in the dermis, and some CD1a+ve cells co-expressed HLA-DR. The perivascular mononuclear inflammatory infiltrate

was predominantly of CD3+ T-cells, with CD68+ macrophages and a few CD20+ B cells. Approximately 8-10% of the CD3+ T-cells were CD6+, Numerous HLA-DR+/CD3+ activated T-cells and some CD68+/DR+ macrophages were demonstrable in the dermis and in deeper tissue.

5 Antibody to VLPs

 Prior to immunization, significant sero-reactivity to HPV6b, defined as OD reactivity > 3 S.D. from the mean of pooled "normal" sera, was observed in 9 of 32 study subjects and reactivity to HPV16 was observed in two subjects (FIGS. 2A and 2B). In contrast, sero-reactivity to HPV6b was measurable in 0 of 38 control subjects and antibody to HPV16 VLPs in two of 38 control subjects. There was an increase in reactivity to HPV6b after immunization in all but one of the study subjects (FIG. 2D): the mean increase was 0.190 OD units +/- S.D. 0.110. The increase in VLP specific reactivity with HPV6bL1 VLPs at week 20 was greater for subjects receiving the 5 µg (0.227 +/- 0.028) and 10 µg (0.220 +/- 0.035) doses of VLPs than for those receiving the 1 µg (0.085 +/- 0.022) dose, and was non-significantly greater in those subjects who received 5 or 10 µg who received more than three immunizations (0.242 +/- 0.042, n=11) than in those who received three (0.209 +/- 0.021, n=13). Two weeks after the first VLP immunization, the largest increments in HPV6bL1 VLP specific IgG antibody titre were observed in those subjects with initially weakly HPV6bL1 reactive sera, suggesting that these subjects were already primed to HPV6b (FIG. 2C). Final levels of IgG antibody to HPV6bL1 VLPs were predicted by initial levels of VLP specific antibody ($r^2 = 0.53$; $p=0.005$), however, the increment in VLP reactivity observed following three immunizations did not correlate significantly with the initial VLP reactivity (FIG. 2C). Sera were tested for reactivity with VLPs of other HPV types. No increase was seen in HPV16L1 VLP reactivity following immunization in 32 sera (mean increase 0.009 +/- S.D. 0.043). Of 11 subjects tested for reactivity to HPV11L1 VLPs who were initially reactive to HPV6b, or who acquired reactivity to HPV6b following

immunization, 10 acquired reactivity of similar magnitude to HPV11 VLPs ($r^2 = 0.75$) (FIG. 2E).

Clinical outcome

One purpose of this study was to establish whether the use of prophylactic VLP based vaccines would adversely affect the course of existing HPV infection. The complete regression rate for visible wart disease in this study over 20 weeks (FIG. 3A) was 25 of 33 evaluable patients (76%) or 25 of 36 subjects (69%) if outcome data were analyzed on an intention to treat basis. Of the eight evaluable subjects with residual disease at 20 weeks, five had substantial partial regression (>50% wart clearance). Over further follow up to nine months, no subject with complete clearance had recurrence of disease, and two further subjects had complete regression, one following destructive treatment and one spontaneously. Regression of warts amongst evaluable subjects receiving the 5 and 10 μg dose of vaccine was similar (FIG. 3B), whereas regression amongst the patients who received 1 μg occurred earlier after immunization.

The number of warts at entry to the study ranged from 1 to 15, and resolution of warts during the period of observation was more common amongst those with fewer warts (Table 2). Subjects resolving warts during the study had a mean of 3.8 warts at entry whereas non-resolvers had a mean of 6.8 warts (ANOVA: $F=6.07$ for wart number as a predictor of resolution. 1 d.f; $p=0.019$). Wart area at entry ranged from 25 to 950 mm^2 . Mean wart area at entry amongst those subjects not clearing their warts during the study was 520 $\text{mm}^2 \pm 120$, whereas mean area amongst those clearing their warts was 260 $\text{mm}^2 \pm 47$ mm^2 . ($F=5.84$; d.f.=1; $p=0.02$). Multivariate analysis indicated that differences in wart number and size between the different dosage groups (Table 1) could account for the clearance rate differences observed between the groups. Number of warts at entry was not a predictor of time to regression, or of initial VLP specific antibody titre. The reported duration of warts prior to

commencement of immunotherapy ranged from 1 to 20 months, with a median value of two months. Prior duration of wart disease was not a predictor of outcome of disease ($F=0.32$; 1 d.f. $p=0.57$), of time to regression, or of initial VLP specific antibody titre ($F=0.89$; 4 d.f.; $p=0.47$). Of 33 subjects, 15 had had prior destructive treatment with diathermy. Prior treatment did not predict outcome, time to regression, or antibody titre at entry to the study. Age of patients ranged from 18 to 56 (mean 33) with 27 females and six males and neither age or sex significantly predicted regression of warts or time to regression (Table 3).

10 Correlation of immunity with outcome

Correlations were sought between wart regression and the response to the VLP immunization. There was no correlation between the level or presence of pre-existing VLP specific antibody, or of the magnitude of the DTH reaction, and the eventual outcome of the warts, or the time to regression (Table 3). The size of the antibody increment following immunization was negatively correlated with outcome. This may reflect the trial design, in that subjects who failed to resolve their warts were further immunized, although the number of doses of vaccine received did not predict the magnitude of the antibody increment observed.

20 CONCLUSIONS

Regression of HPV6b+ve genital warts was observed over 20 weeks in the current study in 76% of HPV6b VLP immunized subjects. In contrast, the regression rate of genital warts over the same period in the control groups of published trials of therapy for genital warts range from 0-29% (FIG. 3A). Thus, not only has HPV6bL1 VLP administration not adversely affected the natural process of resolution of HPV6b associated warts but there is good evidence that the treatment may have accelerated resolution. A cellular inflammatory infiltrate, and in particular the presence of IL-12 secreting T cells as described in Coleman *et al.*, 1994, Am. J. Clin. Pathol. 102 768-774, is associated with the process of

resolution of genital warts, and an absence of cell mediated immunity is associated with failure of regression. These observations suggest a key role for cellular immunity in wart regression, as for other viral infections. PV viral capsid proteins including L1 are expressed in warts. Although detectable L1 protein is limited to the more superficial layers of the epidermis in warts, possibly as a consequence of L1 mRNA instability as described in Sokolowski *et al.*, 1998, *J. Virol.* 72 1504-1515, cells expressing undetectably small amounts of L1 are nevertheless susceptible to L1 specific T cell mediated lysis as described in De Bruijn *et al.*, 1998, *Virology* 250 371-376. Thus, even the minor amounts of L1 are expressed in the cells of the deeper layers of a wart may be sufficient to sensitize the replicating HPV infected parabasal keratinocytes in a wart to T cell mediated lysis. As administration of VLPs without adjuvant to animals induces VLP specific cytotoxic T cells as described in Peng *et al.*, 1998, *supra* and Greenstone *et al.*, 1998, *supra*, VLP immunotherapy may alter the outcome of human genital warts by induction of PV protein specific CTL which lyse the replicating HPV infected parabasal keratinocytes which allow wart persistence.

Passive specific immunotherapy of CMV and EBV infection with virus specific cytotoxic T lymphocytes (CTL) is effective immunotherapy in immunosuppressed subjects incapable of mounting an effective natural immune response to these viruses as shown in Sing *et al.*, 1997, *Blood* 89 1978-1986 and Walter *et al.*, 1995, *N. Engl. J. Med.* 333 1038-1044, confirming a role for CTL as immune effectors for reduction of infection in man. Immunization to induce cell mediated immunity has been proposed as active specific immunotherapy for herpes simplex viruses, and human immunodeficiency virus, but Phase 1 trials have to date failed to demonstrate clinical benefit, which as been attributed to the nature of the immune response induced by current immunization regimens. Naturally occurring papillomavirus infection is poorly immunogenic, presumably because the virus causes cell

proliferation without local immunization, and infects only the superficial layer of the skin as shown in Frazer, I.H., 1996, *Curr. Opin. Immunol.* 8 484-491. Thus, specific immunotherapy for PV infection with VLPs in man might be expected to give a better clinical outcome than the immune response induced by infection, as is apparently demonstrated in the current study. Papillomavirus infection should therefore be a good candidate for studies of efficacy of newer vaccine delivery systems designed to produce effective cell mediated immunotherapy in man.

In addition to a role in immunotherapy of warts, induction of cell mediated immunity to HPV proteins would be a desirable feature in an HPV prophylactic vaccine, to eliminate any cells infected with HPV that escaped neutralization by VLP specific antibody. Demonstration of DTH to VLPs in subjects with genital warts in the present study is in keeping with the ability of VLPs administered without adjuvant to mice to induce cell mediated immune responses including specific CTL as described in Greenstone *et al.*, 1998, *supra*, Peng *et al.*, 1998, *supra* and Dupuy *et al.*, 1997, *Microb. Pathog.* 22 219-225. It is yet to be determined whether the use of adjuvants which selectively stimulate cellular immunity will further enhance the efficacy of this therapeutic vaccine. As DTH testing might itself have induced immunity and administration of VLPs induces no local reaction in the ears of non-immune mice (data not shown), pre-immunization DTH testing was not carried out in this study, precluding a conclusion that HPV6bL1 specific DTH was a consequence of immunization. However, only a minority of subjects had pre-existing antibody to HPV VLPs, in keeping with the median lag time of six months demonstrated between acquisition of HPV16 infection and the appearance of HPV16 specific antibody in cohort studies as described in Carter *et al.*, 1996, *J. infect. Dis.* 174 927-936. Thus, it is probable that the DTH reactivity in the current study was generally acquired as a result of immunization.

A significant increase in VLP specific antibody titre was

observed in the current study in the majority of non-immune subjects after three immunizations and in partially immune subjects after one immunization. Thus, administration of VLPs to patients with HPV infection apparently induces immunity to the same epitopes as are immunogenic in the course of natural infection. Even 1 μ g or less of VLPs are highly immunogenic in mice, rabbits, dogs and cattle, whether given with or without adjuvant as described in Breitburd *et al.*, 1995, *supra*, Kimbauer *et al.*, 1996, *supra* and Christensen *et al.*, 1994, J. Virol. 70 960-965. Murine Langerhans cells (LC) can express $\alpha 6 \beta 1$ integrin as described in Price *et al.*, 1997, J. Exp. Med. 186 1725-1735, recently described as a candidate receptor molecule for papillomavirus as described in Evander *et al.*, 1997, J. Virol. 71 2449-2456, suggesting that direct uptake of PV VLPs by LC may explain their unadjuvanted immunogenicity. Administration of HPV6b VLPs produced humoral immune responses cross reactive with HPV11, but not with HPV16, confirming that VLP immunization induces antibody with cross reactivity between closely related PV types and a lack of cross reactivity between more distant types, as has been described following natural infection as described in Bernard *et al.*, 1994, Curr. Top. Microbiol. Immunol. 186 33-54, Christensen *et al.*, 1994, Virology 205 329-355 and Rose *et al.*, 1994, J. Gen. Virol. 75 2445-2449 and Christensen *et al.*, 1994, Virology 205 329-355. This observation is of significance for papillomavirus prophylactic vaccines.

The current data support the concept that HPV11 VLPs are a good candidate for therapeutic vaccines against HPV infection.

In another embodiment of the invention there is provided a method of treatment of an existing PV infection which includes the step of administration of PV VLPs to a patient suffering from the PV infection. Such VLPs may include chimeric VLPs which comprise a protein E component.

In a further embodiment there may be provided a method of

5 treatment of an existing PV infection which includes the step of administration of PV VLPs in the presence of adjuvant to a patient suffering from the PV infection. In this particular embodiment the adjuvant is preferably one that induces cellular responses and may be included from the group consisting of (1) lipid A and derivatives, (2) Quillaia saponins and derivatives, (3) mycobacteria and components or derivatives therefrom (4) IL 12, GMCSF and other Th1 inducing cytokines and (5) oxidized mannan and analogues thereof.

TABLES

TABLE 1 Study patient characteristics

ID	Age/ Sex	No. of Warts	Site	Time in days	Area cm ²	Prv Rx/Ab	Dose (µg)	No. of Doses	Resp (No.)	OTH Hist	OTH Hist	MLP Ab Post	MLP Ab Post
ZLY	22F	4	vulva	1	60	0	1	3	6	3	4	.103	.283
LPP	41F	2	vulva	3	100	2	1	3	4	3	2	.018	.083
LLL	34F	2	multi	1	125	0	1	3	2	1	2	.054	.082
YXR	50F	2	vulva	-	200	0	1	3	1	3	2	.034	.043
WY	24F	3	cervix	5	240	3	1	3	3	3	4	.197	.301
XAQ	32F	4	multi	2	475	2	1	3	5	3	5	.032	.074
FPY	36F	5	vulva	3	500	2	1	3	7	3	4	.041	.128
QLH	18F	8	vulva	3	600	3	1	3	8	3	2	.068	.235
RYL	41F	1	vulva	20	50	0	5	3	4	3	4	.033	.104
ZJH	27M	2	penis	1	50	0	5	3	10	2	4	.003	.208
ZCY	24F	1	anus	-	125	1	5	3	9	2	Nd	.105	.404
SVF	27F	2	vulva	1	130	0	5	3	9	2	3	.131	.348
PML	43F	3	multi	1	165	2	5	3	8	3	4	.075	.276
JHF	20F	5	multi	2	240	2	5	3	20+	nd	Nd	-.018	.156
XJF	23F	5	vulva	1	500	0	5	3	6	1	4	.010	.274
LCL	35F	12	multi	1	750	0	5	3	6	2	4	.100	.355
JHL	32M	2	penis	1	25	0	5	4	14	1	Nd	.009	.131

Substitute Sheet (Rule 26) RO/AU

ID	State SES	No. of vars	Site	Time mins	Area mm	Pre R/N	Dose (100)	Sub pr Doses	Regr (N)	Depth mm	Depth Histo	WIP AD Pre	WIP Post
WXQ	50F	1	vulva	2	900	0	5	4	20+	1	Nd	nd	Nd
ZGS	36N	3	penis	1	100	0	5	5	20	1	1	.003	.194
LXH	30F	11	multi	1	875	0	5	6	20+	3	3	-.017	.259
YMX	32F	8	vulva	2	880	1	5	6	20+	3	2	.068	.528
CLL	24F	3	vulva	1	150	1	10	3	10	2	5	.042	.351
JML	48F	1	vulva	-	250	0	10	3	4	3	2	.090	.27
WZZ	20F	5	vulva	1	250	2	10	3	10	3	3	.357	.491
JJL	39F	4	multi	1	300	0	10	3	8	3	4	.041	.327
ZXH	28F	6	multi	2	310	0	10	3	20+	3	3	.311	.420
CDY	56M	3	penis	1	40	0	10	4	8	2	Nd	-.009	.301
ZHQ	24F	5	vulva	2	100	1	10	4	10	1	1	.448	.521
HSS	23F	7	multi	3	650	2	10	5	20+	1	3	.109	.455
ZSQ	55N	4	penis	3	80	3	10	6	20	2	3	.060	.377
YQ	30F	2	multi	1	125	0	10	6	20+	1	3	.033	.119
MXV	26F	14	multi	1	180	0	10	8	20+	2	2	.080	.491
VFX	25F	8	multi	2	950	2	10	6	11	3	4	.072	.151

TABLE 2 Summary subject demographics, treatment regimes and outcome by immunization dose

Group (dose)	Number (F:M)	Age (years) (mean range)	No. of warts at presentation (mean range)	Area of warts at presentation (mm ² mean range)	Duration of warts (Months) (mean range)	Past genital warts (Yes/No)	Outcome at week 20 (resolved/persistent)	Mean time to resolution (weeks) (mean range)
All subjects	27F:6M	32(18-56)	4.5(1-14)	325(25-950)	2.4(1-20)	15:18	25:8	11.0(1-NR)
1 µg	7F:1M	31(18-50)	3.8(2-8)	287(60-600)	2.6(1-5)	5:3	8:0	4.5(1-8)
5 µg	10F:3M	32(20-50)	4.3(1-12)	368(25-900)	2.8(1-20)	4:9	9:4	12.7(4-N.R.)
10 µg	10F:2M	33(20-56)	5.2(1-14)	282(40-950)	1.6(1-3)	6:6	8:4	13.4(4-N.R.)

TABLE 3 Predictors of wart resolution during the period of observation

	Resolved (n=25)	Not resolved (n=8)	P
Age	33.4 ± 2.2	29.9 ± 3.2	NS
Duration of warts (months)	2.5 ± 0.8	1.8 ± 0.4	NS
No. of warts at entry	3.8 ± 0.5	6.8 ± 1.5	P=0.019
Wart area at entry (mm ²)	252 ± 48	520 ± 120	P=0.021
DTH reaction to VLPs ^A	2.32 ± 0.16	2.0 ± 0.37	NS
VLP antibody pre-immunization ^B	0.084 ± 0.021	0.080 ± 0.042	NS
VLP antibody post immunization	0.253 ± 0.026	0.347 ± 0.063	NS

LEGENDS**TABLE 3**

- A scored from 0 to 3 as described in Methods Section
- B OD units for serum at 1:100 dilution tested against HPV6b VLPs in
- 5 ELISA

FIG. 1

Immunohistochemical analysis of biopsy material from DTH reaction to HPV VLPs, collected from an immunized subject three weeks after the last dose of VLP vaccine and 48 hours after intradermal injection of 10 µg

10 HPV6b VLPs.

Upper panel: CD3+ve T cells (brown) and CD8+ve T cells (red)

Lower panel: CD3+ve cells (brown) and DR+ve cells (red).

FIG. 2

- 15 Antibody to HPV6b (A) and HPV16L1 (B) capsid protein was measured at a serum dilution of 1:100 by ELISA assay using VLPs prepared with recombinant baculovirus. Results from subjects immediately prior to immunization [hatched] and at week 20 [solid], are shown.

- (C) Increase in HPV6b VLP specific IgG reactivity at week two of
- 20 subjects immunized with HPV6b VLPs is plotted as a function of the initial HPV6bL1 VLP specific reactivity. The different symbols indicate the administered dose of VLPs.

- (D) Increase in HPV6b VLP specific reactivity at week 20 of subjects immunized with HPV6b VLPs is plotted as a function of the initial

HPV6bL1 VLP specific reactivity. Open symbols are for subjects who received precisely three immunizations and closed symbols for those who received more than three immunizations. The different symbols indicate the administered dose per immunization.

- 5 (E) Correlation of the reactivity of various sera with HPV6b and HPV11. Sera from prior to immunization are shown as circles and from week 20 as squares.

FIG. 3

Kaplan Meier analysis of time to wart clearance for subjects immunized with HPV VLPs.

- 10 (A) All study participants.
(B) Study participants stratified by dose of vaccine administered.

CLAIMS

1. A method of treatment of an existing papillomavirus (PV) infection which includes the step of administration of PV VLPs selected from the group consisting of PV L1 VLPs and PV L1/L2 VLPs to a patient
5 suffering from the PV infection.
2. A method of treatment as claimed in Claim 1 wherein the PV infection is characterised by the presence of epithelial lesions.
3. A method of treatment as claimed in Claim 2 wherein the
10 epithelial lesions are selected from the group consisting of palmar warts, planter warts, ano-genital warts, flat and planar warts of the skin and muscosal surfaces, CIN, equine sarcoid and replicating or vegetative PV infection.
4. A method of treatment as claimed in Claim 3 wherein the PV infection is genital warts caused by HPV 6, 11, 34, 39, 41-44 and 51-55.
- 15 5. A method of treatment as claimed in Claim 4 wherein the genital warts are caused by HPV 6 and HPV11.
6. A method of treatment as claimed in any preceding claim wherein the VLPs are produced by cloning the PV L1 gene into a suitable vector and expressing the corresponding conformational coding
20 sequence for L1 in an eukaryotic cell transduced by the vector.
7. A method of treatment as claimed in Claims 1-5 wherein the VLPs are produced by cloning the PV L1 and L2 genes into a suitable vector and expressing the corresponding conformational coding sequence for L1 and L2 in an eukaryotic cell transduced by the vector.

8. A method as claimed in Claim 6 or 7 wherein the L1 or L1 and L2 genes are inserted into an expression vector containing flanking sequences to form a gene construct and the resulting recombinant DNA is co-transfected with wild type baculovirus DNA into a permissive cell line.
- 5 9. A method as claimed in Claim 6 or 7 wherein the cell line is Sf9 insect cells and the expression vector is a baculovirus expression vector.
10. A method as claimed in Claim 8 wherein the cell line is a procaryotic cell line.
- 10 11. A method as claimed in any preceding claim wherein the concentration of PV VLPs administered to the patient is 0.5-20 µg.
12. A method as claimed in Claim 11 wherein the concentration is 1-10 µg.
13. A method of treatment as claimed in Claim 1 wherein the
15 VLPs exclude adjuvant.
- ~~13.~~¹⁴ A method of treatment as claimed in Claim 11 or 12 wherein dosages of PV VLPs are given 3-6 times over a period of 8-16 weeks.
- ~~14.~~¹⁵ A method of treatment as claimed in Claim 11 wherein dosages of PV VLPs are 3-6 times over a period of 2-4 weeks.
- 20 ~~15.~~¹⁶ A method of immunization against HPV11 infections by administration of HPV6 VLPs to a patient.
- ~~16.~~⁷ A method as claimed in Claim 15 wherein HPV6b VLPs are administered to the patient.
- ~~17.~~⁸ A method as claimed in Claim 15 or 16 wherein the

concentration of HPV6 VLPs are 0.5-20 μ g.

18.19 A method as claimed in Claim 17 wherein the concentration of HPV6 VLPs are 1-10 μ g.

19.20 A method as claimed in Claim 17 or 18 wherein dosages of HPV6 VLPs are given 3-6 times over a period of 8-16 weeks.

20.21 A method as claimed in Claim 17 or 18 wherein dosages of HPV6 VLPs are given 3-6 times over a period of 2-4 weeks.

21.22 A method of immunization against HPV6 infections by administration of HPV11 VLPs to a patient.

10 22.23 A method of immunization as claimed in Claim 21 wherein the concentration of HPV11 VLPs is 0.5-20 μ g.

23.24 A method of immunization as claimed in Claim 22 wherein the concentration of HPV11 VLPs is 1-10 μ g.

15 24.25 A method of immunization as claimed in Claim 22 or 23 wherein dosages of HPV11 VLPs are given 3-6 times over a period of 8-16 weeks.

25.26 A method of immunization as claimed in Claim 22 or 23 wherein dosages of HPV11 VLPs are given 3-6 times over a period of 2-4 weeks.

20 26.27 A method of treatment of an existing PV infection which includes the step of administration of PV VLPs without adjuvant to a patient suffering from the PV infections.

27.28 A method of treatment as claimed in Claim 27 wherein the PV VLPs are chimeric.

~~28.~~29 A method of treatment as claimed in Claim 26 wherein the PV VLPs comprise E protein.

~~29.~~30 A method of treatment as claimed in Claim 1 wherein the PV VLPs include an adjuvant.

5 ~~30.~~31 A method of treatment as claimed in Claim 29 wherein the adjuvant is one that induces cellular responses.

~~31.~~32 A method of treatment as claimed in Claim 30 wherein the adjuvants are selected from the group consisting of (1) lipid A and derivatives, (2) Quillaia saponins and derivatives, (3) mycobacteria and components or derivatives therefrom and (4) IL 12, GMCSF, other Th1
10 inducing cytokines and (5) oxidized mannan and analogues thereof.

ABSTRACT

This invention relates to treatment of papillomavirus infections. Primarily there is provided a method of treatment of an existing papillomavirus (PV) infection which includes
5 the step of administration of PV VLPs selected from the group consisting of PV L1 VLPs and PV L1/L2 VLPs to a patient suffering from the PV infection. Suitably the PV infection is characterised by the presence of epithelial lesions. The major infection which is treated are genital warts caused by HPV 6 and HPV 11.

1 / 8

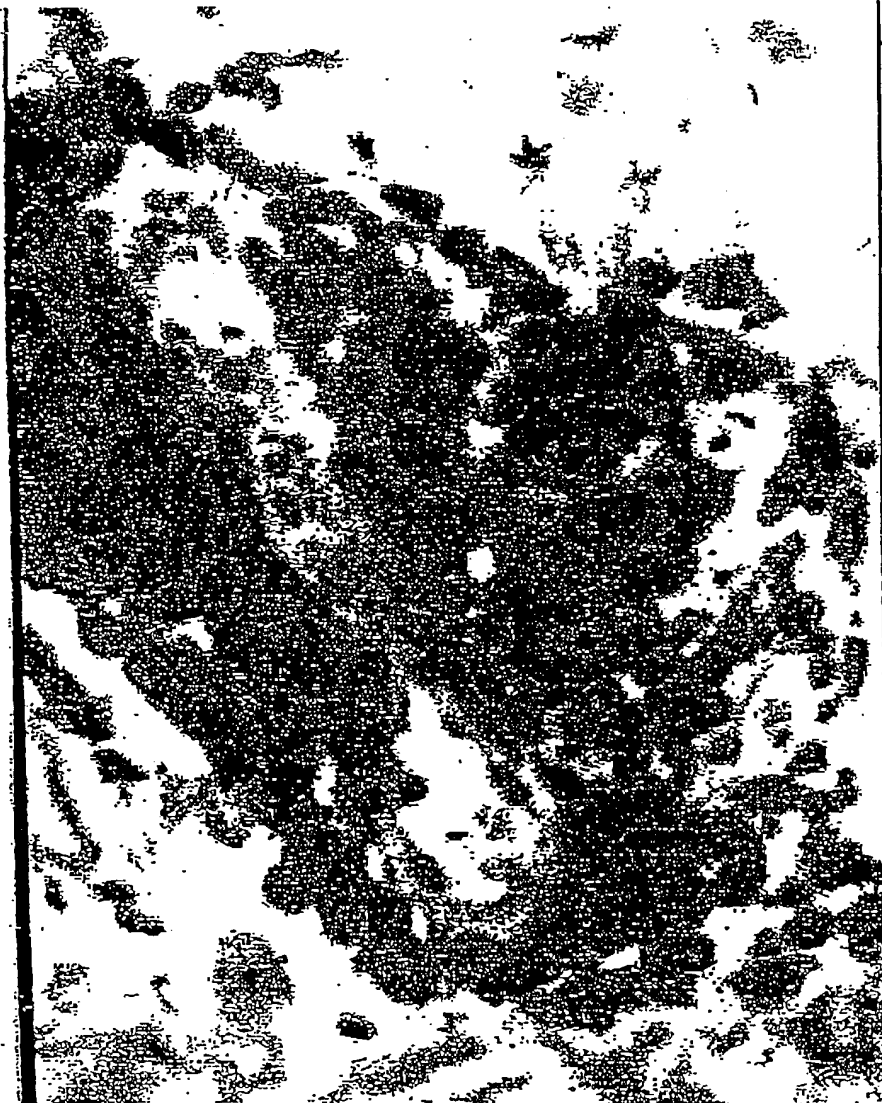


FIG. 1A



FIG. 1B

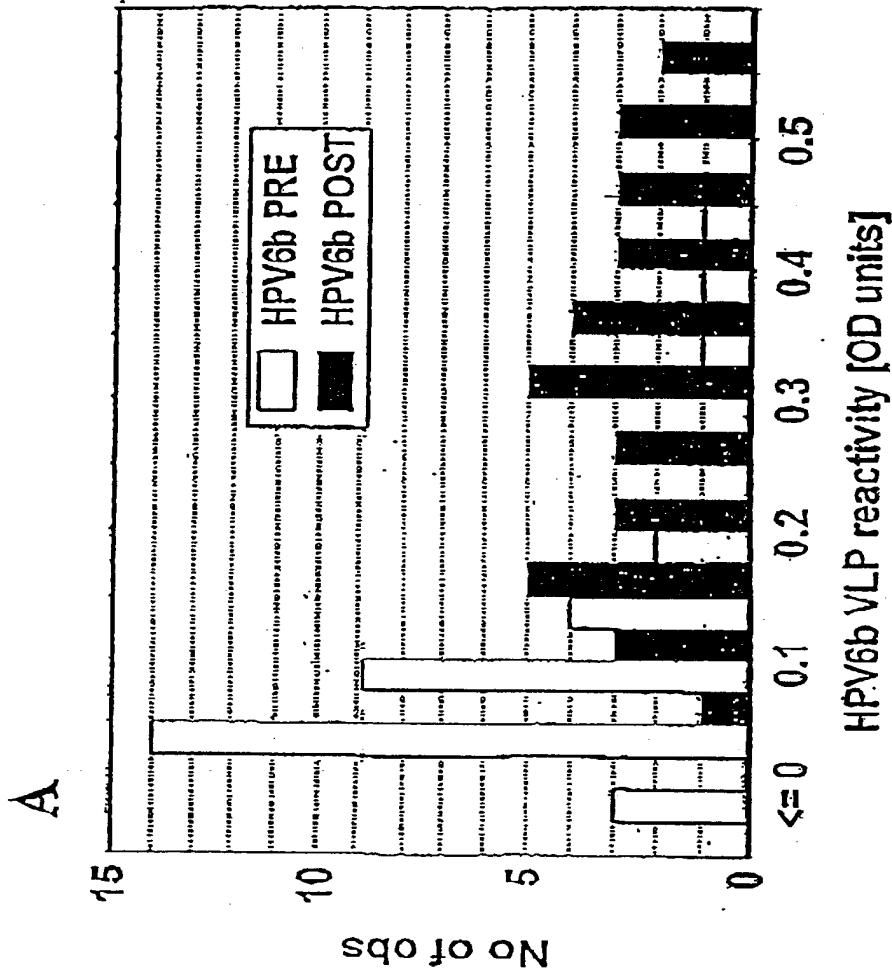


FIG. 2A

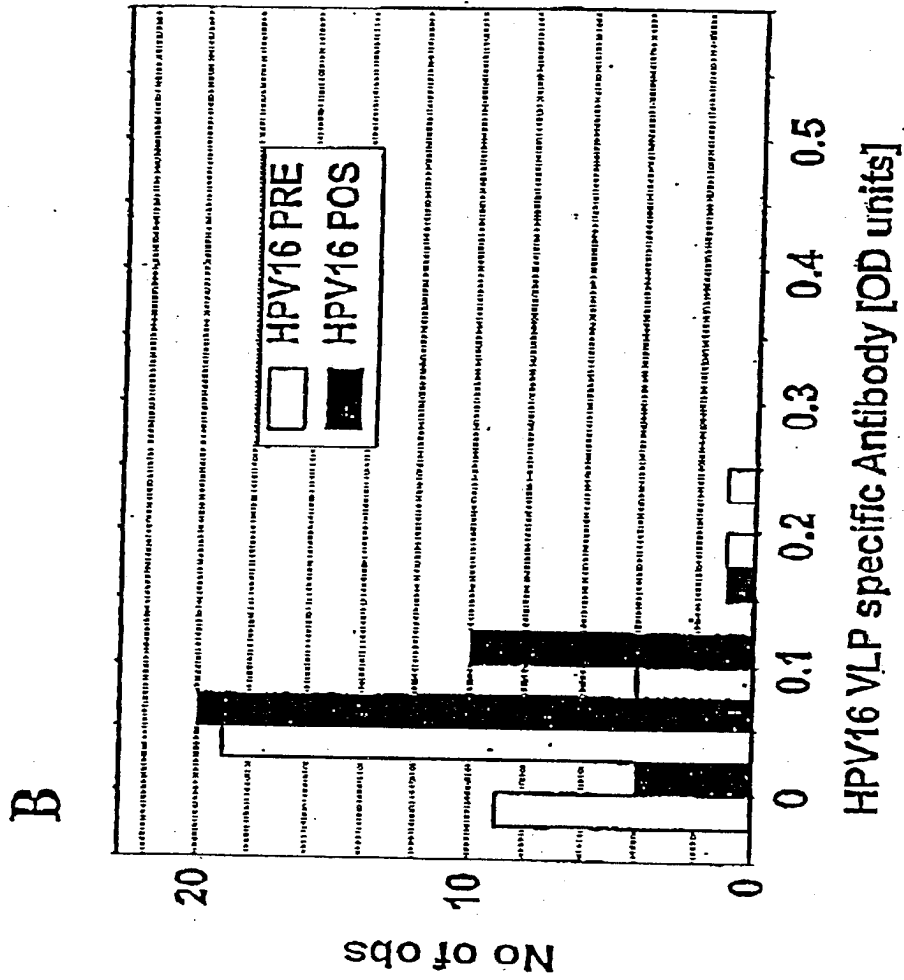
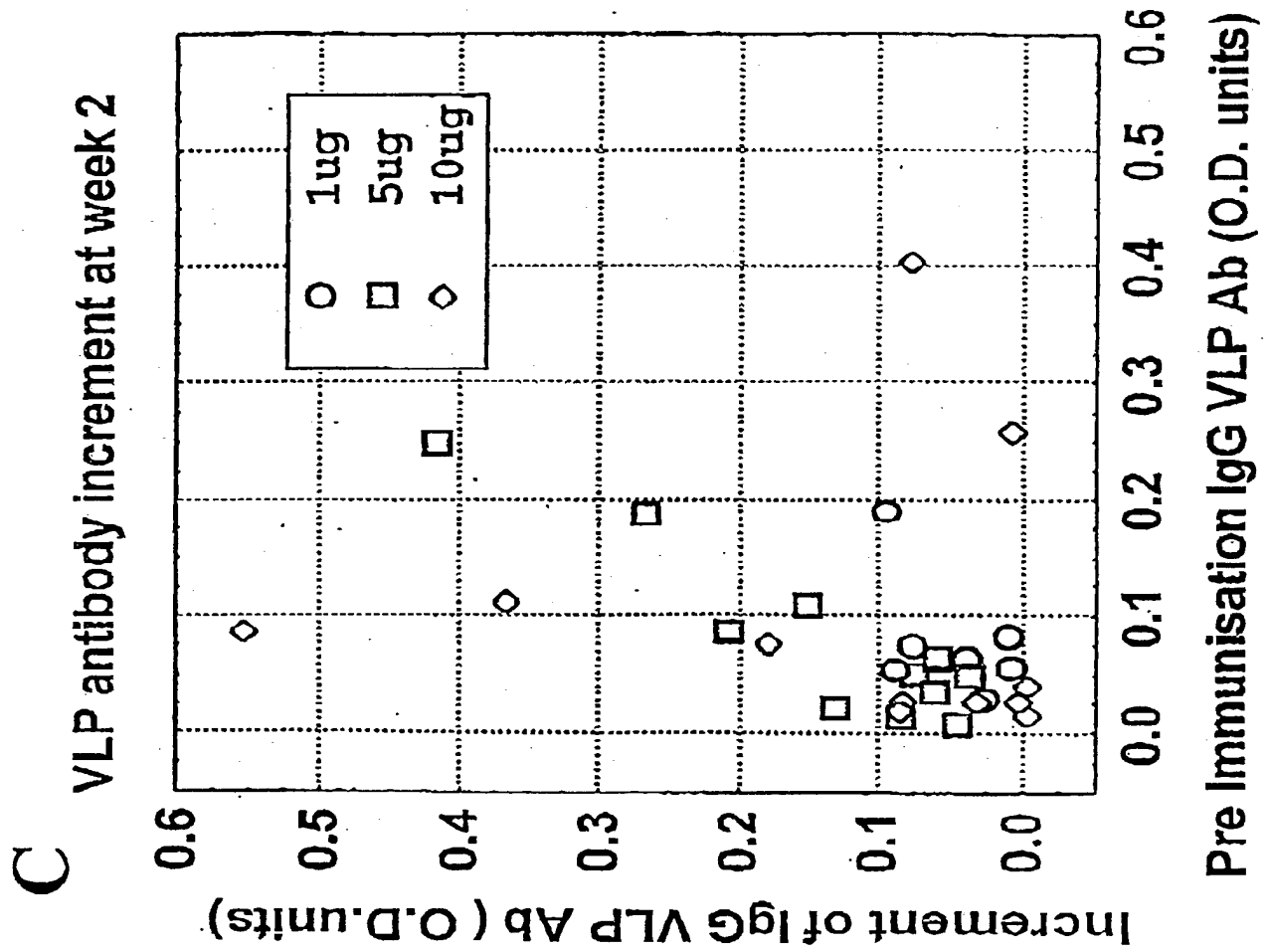
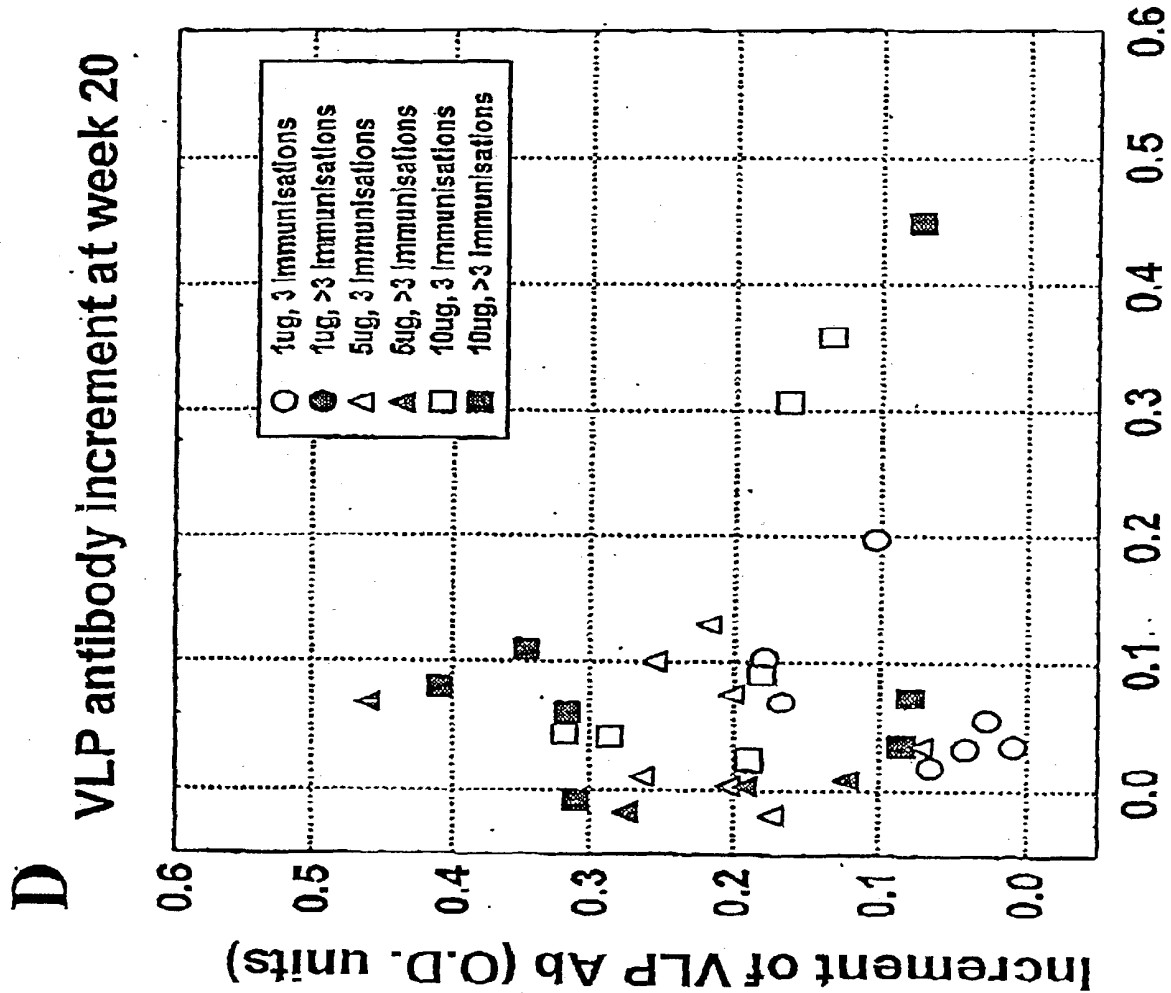


FIG. 2B





Pre Immunisation VLP ab (O.D. units) FIG. 2D

FIG. 2E

8 / 8

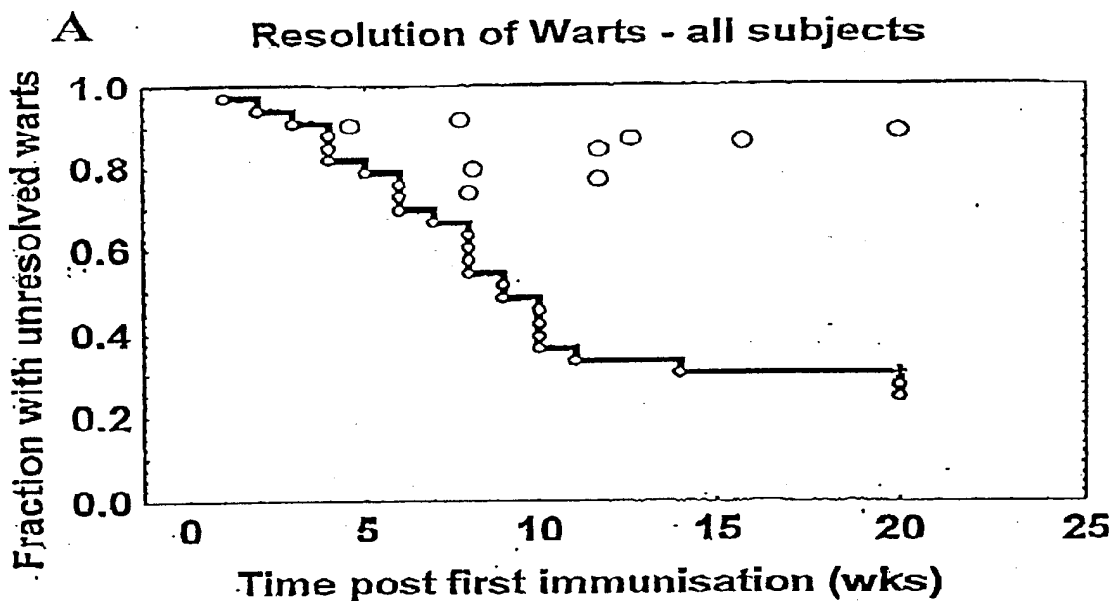


FIG. 3A

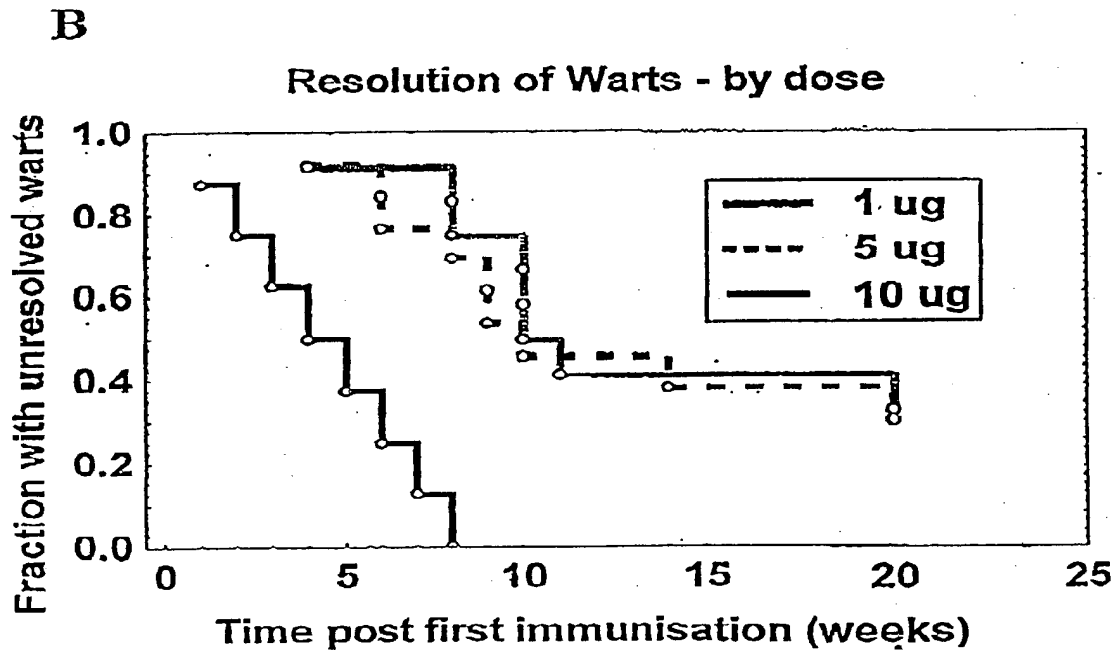


FIG. 3B

Combined Declaration and Power of Attorney for Patent Application

Docket Number: 10905 0003 NPUS00

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled Treatment of Papillomavirus Infection, the specification of which is attached hereto unless the following box is checked:

- ☒ was filed on June 11, 2001;
as United States Application Number or PCT International Application Number 09/868,009; and
was amended on June 11, 2001 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application, which designated at least one country other than the United States listed below, and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

☒ Yes ☐ No

PCT/AU99/01108
(Application No.)

PCT
(Country)

11 December 1999
(Day/Month/Year Filed)

☐ Yes ☐ No

(Application No.)

(Country)

(Day/Month/Year Filed)

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

(Application No.)

(Filing Date)

(Application No.)

(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56 that became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
-------------------	---------------	---

(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
-------------------	---------------	---

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

34
 Jason C. Abair, Reg. No. 44,007
 Ethan B. Andelman, Reg. No. 48,997
 Michael J. Bell, Reg. No. 39,604
 Andrew S. Brenc, Reg. No. 45,534
 Celine T. Callahan, Reg. No. 34,301
 Kevin Cheatham, Reg. No. 48,766
 Jenny W. Chen, Reg. No. 44,604
 Robin C. Chiang, Reg. No. 46,649
 Chris Comuntzis, Reg. No. 31,097
 James F. Davis, Reg. No. 21,072
 Thomas M. Dunham, Reg. No. 39,965
 Panpan Gao, Reg. No. 43,626
 Darren J. Gold, Reg. No. 47,599
 Alan M. Grimaldi, Reg. No. 26,599
 J. Jay Guiliano, Reg. No. 41,810
 Albert P. Halluin, Reg. No. 25,227
 Leslie L. Jacobs, Jr., Reg. No. 40,659
 Derek J. Jardieu, Reg. No. 44,483

Christopher L. Kelley, Reg. No. 42,714
 Brian S Y. Kim, Reg. No. 41,114
 Viola T. Kung, Reg. No. 41,131
 Robert C. Laurenson, Reg. No. 34,206
 Joseph P. Lavelle, Reg. No. 31,036
 Don F. Livornese, Reg. No. 32,040
 Christopher A. Mathews, Reg. No. 35,944
 Matthew J. Moore, Reg. No. 42,012
 Andrew Y. Piamicia, Reg. No. 40,772
 William C. Rooklidge, Reg. No. 31,791
 Michael J. Sumson, Reg. No. 45,429
 William K. West, Reg. No. 22,057
 Justin A. White, Reg. No. 48,883
 Adam K. Whiting, Reg. No. 44,400
 Jayna R. Whitt, Reg. No. 47,175
 Karen K. Wong, Reg. No. 44,409
 Wallace Wu, Reg. No. 45,380
 Matthew S. Zises, Reg. No. 47,246

Send Correspondence to:

Albert P. Halluin
HOWREY SIMON ARNOLD & WHITE, LLP
301 Ravenswood Avenue
Box No. 34
Menlo Park, CA 94025
Facsimile: (650) 463-8400

Direct Telephone Calls to: (650) 463-8109

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

VOL 12 07/09/2002

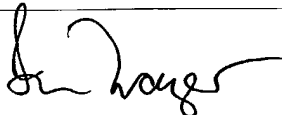
09868009 .112102

Appl. No. 09/868,009
Docket No.: 10905.0003.NPUS00

Full name of sole or first inventor

Jan FRAZER

Inventor's signature



Date

1st May 2002

Residence

Centre for Immunology & Cancer Research, University of Queensland, Department of Medicine, Princess Alexandra Hospital,
Buranda, QLD 4102, Australia *AUX*

Citizenship

AU

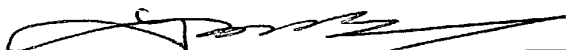
Mailing Address

Centre for Immunology & Cancer Research, University of Queensland, Department of Medicine, Princess Alexandra Hospital,
Buranda, QLD 4102, Australia

3-11
Full name of second inventor and Legal Representative of Deceased inventor

Xiao Yi SUN (Legal Representative for Jian ZHOU (Deceased)) *2-01*

Signature of Legal Representative for Second inventor (Deceased)



Date

2-5-02

Residence of legal Representative

21 Cooranga Street, Jindalee, QLD 4074, Australia

AUX

Citizenship of Legal Representative

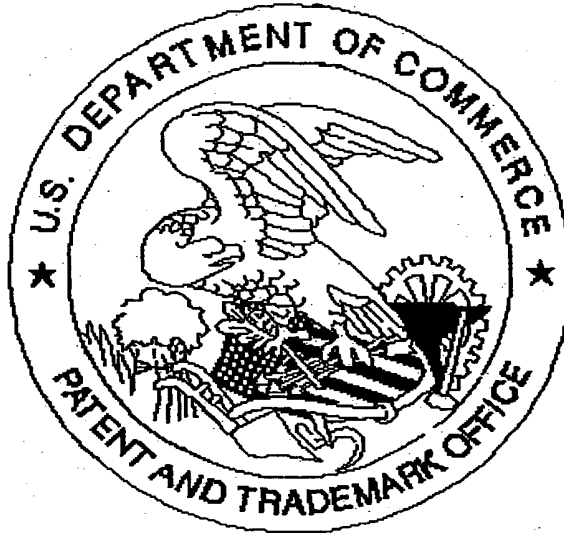
AU

Mailing Address of Legal Representative

21 Cooranga Street, Jindalee, QLD 4074, Australia

(Supply similar information and signature for subsequent joint inventors, if any)

United States Patent & Trademark Office
Office of Initial Patent Examination -- Scanning Division



Application deficiencies found during scanning:

☐ Page(s) _____ of _____ were not present
for scanning. (Document title)

☐ Page(s) _____ of _____ were not
present
for scanning. (Document title)

Scanned copy is best available. Some drawings are dark.